

Fidelity Digitization of Paper Forms Project: Transforming the Change of Registration PDF experience into a digital experience for customers.

Fidelity.com Change Account Registration

Change of Registration > Features & Updates > Signing > Next Steps 🔒 This is a secure transaction. A A A

Change Account Registration

Complete this request to:

- Add or remove living or deceased owners on eligible Fidelity accounts.
- Change an eligible Fidelity account into an individual, joint, custodial account.
- Change an eligible Fidelity account into a trust, estate, guardianship, or conservatorship account.
- Update a trust account and/or manage living or deceased trustees.

Account Information

Here are the accounts associated with your profile. Before we begin, please answer the following questions so we can better address your specific needs.

Account Number	
	Individual Account (123456789)
	Joint Account (098765432)
	Trust Account (9876543201)
	Custodian Account (098765432)
	Mutual Funds Account (9876543201)

← 33 px group spacing

Will you be updating any of the Fidelity accounts listed above as part of this request?

Yes

No

← 33 px group spacing

Are you completing this request because someone associated with a Fidelity account is now deceased?

Yes

No

Questions?

[800-544-6666](tel:8005446666)
Instant message a representative.

Fidelity Change of Registration

Individual Account

Account You've Selected

Account 123456789
Account Type Individual Brokerage or MF
Owner Jim Kent

Manage This Account

What would you like to do with this account?

- Change to a joint account.
Helpful to Know
 - Any individual you add will have full rights and access to this account.
 - You'll need to select a joint account type and decide who will be the primary owner.
- Change to a custodial account (UGMA/UTMA).
- Change to a trust account.
- Change primary account owner.

Questions?

[800-544-6666](tel:800-544-6666)
Instant message a representative.

All radios present progressive content

Change of Registration – Joint Account

Joint Account Types

A joint account can be shared by two or more individuals, depending on the type of account you choose.

When selecting a joint account type, some restrictions apply regarding marital status and state of residency, so consult a tax or legal advisor.

Select a Joint Account

Please choose a joint account type that best meets your needs. If you select a joint account type for which you're not eligible, you'll automatically be defaulted into a Joint Tenants with Right of Survivorship (WROS) account.

[Learn more about the different joint account types.](#)

- Joint Tenants with Rights of Survivorship (WROS)
- Joint Tenants in Common
- Community Property
- Tenants by the Entirety

[Exit](#) | [Save](#)

[Back](#)

[Continue](#)

Questions?

[800-544-6666](tel:800-544-6666)

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Joint Account Types

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Select a
Please choo
not eligible,
[Learn more](#)

Married/Not Married **Married Only**

	Features	Eligibility
Joint Tenants with Right of Survivorship (WROS)	<ul style="list-style-type: none"> · An account that has two or more adult owners. · Each account owner has an undivided interest in the assets. · When one account owner dies, the account passes to the surviving account owner(s). 	<ul style="list-style-type: none"> · Joint owners don't have to be married. · This account is not available in Louisiana.
Joint Tenants in Common	<ul style="list-style-type: none"> · Two or more people own the account, with each person owning a predetermined share of the account's assets. · Upon death, the surviving owner(s) receive their share of the account. · When one account owner dies, that person's share of assets passes on to their estate or a beneficiary designated through a will. 	<ul style="list-style-type: none"> · Joint owners don't have to be married.

[Exit](#) | [Save](#)

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Fidelity Change of Registration

Individuals on the Account

Tell us the individuals you're adding or removing and indicate their roles below.

Depending on the type of registration change, the primary owner on the account will automatically be removed. You will need to select a new primary owner. You have the ability to choose a

[Learn more](#)

Add, Edit,

- Add new individual
- Remove individual
- Assign roles

Name
Jim Kent
Lily Kent

[+ Add an individual](#)

Learn more about primary owners.

The primary owner is the individual/entity whose name and SSN/TIN is listed first on an account for tax reporting purposes.

Hint: If you're not sure how to identify the primary owner, look at the your monthly statement. The first name listed on the account is typically the primary owner.

On a joint account, with multiple owners: The person whose name and SSN/TIN is listed first is the primary owner.

On a custodial account (UGMA/UTMA): The minor is always the primary owner.

On a guardianship/conservatorship account: The ward is always the primary owner.

On a trust account: The trust is always the primary owner.

On an estate account: The estate is always the primary owner.

[Edit](#) | [Remove](#)

[Edit](#) | [Remove](#)

Questions?

[800-544-6666](tel:800-544-6666)

[Instant message a representative.](#)

List Builder - the list would populate with as many people as allowed on the previous account.

Add/Edit Overlays = 720 px wide white area

Remove Overlay = 500 px white area

Fidelity Change of Registration - Probate messaging

Fidelity.com

Change of Registration > Features & Updates > Signing > Next Steps

Legal Representative Information

A legal representative is a person named in a will and appointed by a court to oversee the process of probating an estate.

Probated Estate

If this is a probated estate, the legal representative will need to sign this request.
[How do I know if this account is subject to probate?](#)

Is this a probated estate?

Yes No

Legal Representative

First	Middle (optional)	Last
<input type="text"/>	<input type="text"/>	<input type="text"/>

[Exit](#) | [Save](#)

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Change of Registration > Features & Updates > Signing > Next Steps

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[How do I know if this account is subject to probate?](#)

Is this a probated estate?

How Do I Know If This Account Is Subject To Probate?

Probate is a court-supervised process for settling an estate according to the will of the deceased. State laws govern the probate process, so please consult a tax, financial, or legal advisor.

The following list gives a general idea of which assets may or may not be are usually subject to probate.

Assets usually subject to probate:

- Cash, cash accounts without transfer on death (TOD) designations
- Personal property, including valuable items
- Real estate
- Assets that allow naming of beneficiaries, but for which none have been named
- Assets held as joint tenants in common

Assets not usually subject to probate:

- Accounts that allow for the naming of beneficiaries (IRAs, 401(k)s) or TOD designations (investment and some cash accounts)
- Trusts, including assets placed in trusts that might otherwise have to go through probate (cash, real estate)
- Insurance policy proceeds
- Assets with joint ownership with right of survivorship

[Exit](#) | [Save](#)

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Fidelity
INVESTMENTS

Mutual Fund Messaging

Mutual Fund Only Accounts

The account you selected is a Mutual Fund Only account. As part of this transaction, you can change your mutual fund account to a brokerage account. [What's the difference?](#)

If you choose to switch to a brokerage account, your current Mutual Fund Only account will be closed. We will then create a new brokerage account and transfer your Fidelity mutual funds in kind.

Manage Your Mutual Fund Account

Would you like to change your mutual fund account to a brokerage account?

Yes No

[Exit](#) | [Save](#)

Back

Continue

Questions?

[800-544-6666](tel:800-544-6666)

[Instant message a representative.](#)

Mutual Fund Only Accounts

The account you selected is a Mutual Fund Only account. As part of this transaction, you can change your mutual fund account to a brokerage account. [What's the difference?](#)

If you choose to switch to a brokerage account, your current Mutual Fund Only account will be closed. We will then create a new brokerage account and transfer your Fidelity mutual funds in kind.

Manage Your

Would you like to

What's the difference?

- A Mutual Fund Only account permits owners to buy, sell, and hold shares of Fidelity mutual funds only and cannot be used to trade any other types of securities such as stocks, bonds, and other securities.
- A brokerage account permits owners to buy, sell, and hold Fidelity mutual funds, some non-Fidelity mutual funds, stocks, bonds, and other securities.

Questions?

[800-544-6666](tel:800-544-6666)

[Instant message a representative.](#)

[Exit](#) | [Save](#)

Back

Continue

Fidelity Digitization of Forms Project: Transforming Trading Authority PDF to a digital experience for customers

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Trading Authority > Features & Updates > Signing > Next Steps

Trading Authority

Complete this request if you want to allow an [authorized agent](#)—someone other than current owners—to manage some or all of the activities in your account. You can also remove existing authorized agents.

Fill out a separate request for each authorized agent.

What You Need

To complete this request:

- The authorized agent's Social Security number or taxpayer identification number (SSN/TIN), date of birth, and contact information.
- The agent's employment and financial information may also be required in certain circumstances.

If additional signatures are required:

For electronic signatures:

- Email addresses for all required signers

For paper-based signatures:

- A printer and, depending on the account type and size, a [Medallion Signature Guarantee](#) stamp if you're mailing us the form.

Select Your Accounts

Tell us which accounts you'd like to include.

Fidelity Accounts (includes brokerage, retirement, and education accounts)

Note: You cannot add trading authority to a workplace retirement plan, such as a 401(k).

- Account Number Select All
- Dana's 529 (123456789)
- Dana's IRA (098765432)
- Joint Brokerage Acct (222222222)

[What if I don't see all of my accounts listed here?](#)

Fidelity.com

Trading Authority > Features & Updates > Signing > Next Steps

Trading Authority

Complete this request if you want to allow an [authorized agent](#)—someone other than current owners—to manage some or all of the activities in your account. You can also remove existing authorized agents.

Fill out a separate request for each authorized agent.

What

To complete this request, you must provide the authorized agent's Social Security number (SSN/TIN), date of birth, and contact information.

The agent's employment and financial information may also be required in certain circumstances.

What's a Medallion Signature Guarantee?

Fidelity requires a Medallion Signature Guarantee when it is essential to ensure the authenticity of the signature. A signature guarantee is a widely accepted way to protect customers and investment companies from the legal repercussions resulting from invalid or illegal endorsements.

You should be able to obtain a signature guarantee from a bank, a broker, a dealer, a credit union (if authorized under state law), a securities exchange or association, a clearing agency, or a savings association.

A notary public cannot provide a signature guarantee. We cannot accept a notarization instead of a signature guarantee.

Select Your Accounts

Tell us which accounts you'd like to include.

Fidelity Accounts (includes brokerage, retirement, and education accounts)

Note: You cannot add trading authority to a workplace retirement plan, such as a 401(k).

- Account Number Select All
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[What if I don't see all of my accounts listed here?](#)

Fidelity Trading Authority

Fidelity.com

Trading Authority > Features & Updates > Signing > Next Steps

Trading Authority

Accounts You've Selected

Account(s)	Owner(s)
Dana's 529 (123456789)	Dana P Jones
Dana's IRA (098765432)	Dana P Jones
Joint Brokerage Acct (222222222)	Dana P Jones, Chris Jones

Helpful To Know

- Only account owner(s) can grant trading authority.
- Authorized agents cannot be minors.
- If the authorized agent you're adding is not a U.S. citizen, you'll need a copy of their current government-issued ID, such as a driver's license, permanent residency card, passport with U.S. visa to complete this request.
- **Estate, Conservator, Guardian, or other fiduciary accounts:**
Some states limit who can have trading authority, so please consult a legal advisor.

What Would You Like To Do?

- Add an agent.
- Remove an agent.
- Remove and add an agent.

[Exit](#) | [Save](#)

[Back](#)

[Continue](#)

Fidelity Trading Authority - Options Trading

Options Trading

Our records indicate you have options trading privileges on your account(s). You will need to reapply for options approval whenever you add an authorized agent.

We'll provide you with instructions on how to complete the options approval request after you complete this trading authority transaction.

[Why do I have to reapply for options approval?](#)

Options Trading

Our records indicate you have options trading privileges on your account(s). You will need to reapply

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[Why do](#)

Why do I have to reapply for options approval?

We're required by investment industry regulations to ensure customers don't trade outside certain parameters of suitability.

As part of this requirement, you'll need to submit additional information describing the trading and financial background of the authorized agent you're adding. You'll also need to update similar information for yourself (and other joint owners, if applicable) at that time.

Exit | Save

Back

Continue